



Essex Safeguarding Adults Board

Strategic Plan

April 2015 - 17



ESAB STRATEGIC PLAN

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ABOUT ESSEX SAFEGUARDING ADULTS BOARD (ESAB)

ESAB promotes and develops effective safeguarding systems for adults across a wide range of agencies in Essex.

MEMBERSHIP

The ESAB is made up of senior commissioners of health and social care services, providers of health and social care services, providers of sheltered and supported housing, the police, fire service, voluntary and private sector agencies, housing, probation, advocacy and advisory services, district, borough and city councils.

BACKGROUND

The Care Act 2014 has for the first time placed Safeguarding Adult Boards on a statutory footing. The Essex Safeguarding Adults Board (formerly an Adult Protection Committee) has existed in Essex for more than 14 years but the Care Act 2014 now gives the Board a number of specific functions one of which is to publish a strategic plan for each financial year which sets out how it will meet its main objective and what the members will do to achieve this. The Act is clear that the plan must be developed with local community involvement, and the ESAB must consult the local Healthwatch organisation.

Additionally the guidance sets out that safeguarding boards must understand the many and potentially different concerns of the various groups that make up its local community. This might include such things as scams targeted at older householders, bullying and harassment of disabled people, hate crime directed at those with mental health problems, cyber bullying and the sexual exploitation or forced marriage of people who may lack the capacity to understand that they have the right to say no.

At the end of each year the Safeguarding board must produce an annual report which must clearly state what both the Safeguarding Board and its members have done to carry out and deliver the objectives and other content of its strategic plan.

STRATEGIC OVERVIEW - ESAB'S OBJECTIVE AND AIMS

The objective of a Safeguarding Adults Board (SAB) is to help and protect adults



who have needs for care and support, who are experiencing or at risk of abuse or neglect.

Statutory guidance issued by the Department of Health in 2014 states that the aims of adult safeguarding are to:

- stop abuse or neglect wherever possible
- prevent harm and reduce the risk of abuse or neglect to adults with care and support needs
- safeguard adults in a way that supports them in making choices and having control about how they want to live
- promote an approach that concentrates on improving life for the adults concerned
- raise public awareness so that communities as a whole, alongside professionals, play their part in preventing, identifying and responding to abuse and neglect.
- Provide information and support in accessible ways to help people understand the different types of abuse, how to stay safe and what to do to raise a concern about the safety or wellbeing of an adult; and
- Address what has caused the abuse or neglect

The guidance sets out that in order to achieve these aims it is necessary to:

- ensure that everyone, both individuals and organisations, are clear about their roles and responsibilities
- create strong multi-agency partnerships that provide timely and effective prevention of and responses to abuse or neglect
- support the development of a positive learning environment across partnerships and at all levels within them to help break down cultures that are risk-averse and seek to scapegoat or blame practitioners
- enable access to mainstream community resources such as accessible leisure facilities, safe town centres and community groups that can reduce the social and physical isolation which in itself may increase the risk of abuse or neglect; and
- clarify how responses to safeguarding concerns deriving from the poor quality and inadequacy of service provision, including patient safety in the health sector, should be responded to.

Additionally the Department of Health have set out six principles that underpin all adult safeguarding work:

Empowerment – Personalisation and the presumption of person-led decisions and informed consent.

“I am asked what I want as the outcomes from the safeguarding process and these directly inform what happens.”

Prevention – It is better to take action before harm occurs.

“I receive clear and simple information about what abuse is, how to recognise the signs and what I can do to seek help.”

Proportionality – Proportionate and least intrusive response appropriate to the risk presented.

“I am sure that the professionals will work for my best interests, as I see them and they will only get involved as much as needed.”

Protection – Support and representation for those in greatest need.

“I get help and support to report abuse. I get help to take part in the safeguarding process to the extent to which I want and to which I am able.”

Partnership – Local solutions through services working with their communities. Communities have a part to play in preventing, detecting and reporting neglect and abuse.

“I know that staff treat any personal and sensitive information in confidence, only sharing what is helpful and necessary. I am confident that professionals will work together to get the best result for me.”

Accountability – Accountability and transparency in delivering safeguarding.

“I understand the role of everyone involved in my life.”

STRATEGIC PRIORITIES

The Essex Safeguarding Adults Board's strategic plan to achieve its objective has been based on the four adult safeguarding aims with the expectation that the six key principles will be enshrined in the work undertaken by the board during 2015/16.

To enable ESAB to move forward with its statutory functions it has set itself 6 strategic outcomes for the next three years with clear success measures that will be reviewed quarterly by the board to ensure delivery.

ESAB recognises that these are long term outcomes that may need to be adjusted to ensure they continue to reflect the safeguarding environment in which they are operating. The Board will therefore be reviewed and revised annually to ensure the plan operates across a 3 year rolling period.

It is also recognised that the board will need to react to more immediate risks and priorities as they present themselves and as a result will when necessary commission projects outside of the six priority outcomes

STRATEGIC PRIORITY 1:*ESAB CAN GAIN ASSURANCE THAT ADULTS IN ESSEX ARE EXPERIENCING SAFE, HIGH QUALITY SOCIAL CARE PROVISION*

Lead group	Performance and Audit sub-committee? Involvement of other Subgroups – Performance and Audit, Training			
What difference do we want to make?	Improve the quality and safety of residential, nursing and domiciliary care provision across Essex			
How will we measure our success?	Reduction in number of occasions it is necessary for commissioners to withdraw contracts from care providers Reduction in institutional safeguarding concerns raised in Essex			
The actions we will undertake in order to realise this priority are...				
<i>Key action</i>	<i>Lead officer</i>	<i>Other resources</i>	<i>Timescale</i>	<i>Progress update and impact</i>
Performance and Audit sub-committee to identify data that allows it to develop a view of the quality of social care provision in Essex	Lloyd Hunt	Health Executive Forum	September 2015	Work yet to be commenced

STRATEGIC PRIORITY 2:

ADULTS IN ESSEX HAVE ACCESS TO SAFE, HIGH QUALITY HEALTH SERVICE PROVISION IN ESSEX

Lead group	Health Executive Forum Involvement of other Subgroups – Performance and Audit sub-committee, Training			
What difference do we want to make?	ESAB to be better sighted on safeguarding risks across the health economy			
How will we measure our success?				
The actions we will undertake in order to realise this priority are...				
<i>Key action</i>	<i>Lead officer</i>	<i>Other resources</i>	<i>Timescale</i>	<i>Progress update and impact</i>
Performance and Audit sub-committee to identify data that allows it to develop a view of the quality of Health care provision in Essex	Lloyd Hunt	Health Executive Forum	September 2015	Work not yet commenced

Performance and Audit sub-committee to review CQC reports on Essex Acute Hospitals and their action plans identifying pan-Essex lines of enquiry for the improvement of quality in Essex acute hospitals	Lloyd Hunt		June 2015	31 March 2015 - Request sent to all Essex Acute hospitals seeking reports and action plans
Performance and Audit sub-committee to review findings from safeguarding audit and identify areas for development for health organisations in Essex	Lloyd Hunt		June 2015	Headline audit report to ESAB 22 April 2015

STRATEGIC PRIORITY 3:**MINIMISE IMPACT OF DEPRIVATION OF LIBERTY CHANGES RESULTING FROM THE CHESHIRE WEST SUPREME COURT JUDGEMENT**

Lead group	Policy and procedures sub-group Other group involvement – Performance and audit sub-committee, Training sub-committee			
What difference do we want to make?	Establishment of robust and effective arrangements for Deprivation of Liberty safeguards in Essex			
How will we measure our success?	Increased number of BIA's available in Essex Removal of waiting lists for DoLs referrals Reduction in number of inappropriate DoLs referrals being submitted			
The actions we will undertake in order to realise this priority are...				
<i>Key action</i>	<i>Lead officer</i>	<i>Other resources</i>	<i>Timescale</i>	<i>Progress update and impact</i>

<p>To make available sufficient training opportunities to train staff in all agencies to become BIA's</p>	<p>Karen Wright</p>		<p>April 2015</p>	<p>3 Cohorts commissioned by Adult Operations via Bournemouth university. Essex priority for training has been to social workers within Adult Operations. It is expected that post moderation that staff will be online with undertaking assessments by August</p>
<p>Improved knowledge of DoLs across sector to reduce percentage of inappropriate DoLs referrals being submitted</p>	<p>Karen Wright</p>		<p>April 2016</p>	<p>ADASS DoLs guidance and forms are being shared to all providers</p>

STRATEGIC PRIORITY 4:

ESAB ARE ASSURED THAT AREAS OF HIDDEN HARM IN ESSEX IS BEING GIVEN SUFFICIENT PRIORITY WITHIN ITS PARTNER AGENCIES AND THAT THERE ARE ADEQUATE SYSTEMS IN PLACE TO MEASURE RISK AROUND HONOUR BASED ABUSE, FORCED MARRIAGE, FEMALE GENITAL MUTILATION, PREVENTING RADICALISATION OF ADULTS AND MODERN SLAVERY IN ESSEX

Lead group	Policy and procedures sub-committee Other group involvement: Performance and Audit sub-committee, Training sub-committee, HBA Task and Finish Group			
What difference do we want to make?	Improve awareness of Prevent within agencies across Essex			
How will we measure our success?	Increase in number of agencies in Essex who provide their staff with Prevent training Increase in number of Prevent referrals made in Essex Establishment of standing Prevent/Channel panel in Essex Increase in number of agencies in Essex who provide their staff with HBA, FM and FGM training Increase in reporting of HBA, FM and FGM in Essex Increase in number of agencies in Essex who provide their staff with modern slavery training Increase in reporting of modern slavery in Essex			
The actions we will undertake in order to realise this priority are...				
<i>Key action</i>	<i>Lead officer</i>	<i>Other resources</i>	<i>Timescale</i>	<i>Progress update and impact</i>

Establishment of baseline information to enable risk levels for organisations in Essex to be established	Lloyd Hunt – ESAB Performance Analyst	HBA Task and Finish Group Performance and audit subcommittee	September 2015	
Consideration of the level of priority required for agencies in Essex around these policy areas	ESAB	HBA Task and Finish Group	September 2015	
Review of Safeguarding audit findings to establish baseline for training and policy activity across Essex	Performance and Audit sub-committee		April 2015	22 April - Preliminary findings presented to ESAB
Action plan developed to further develop arrangements	Paul Bedwell/Paula Ward	HBA Task and Finish Group	April 2015	

STRATEGIC PRIORITY 5:

ESAB IS ABLE TO ASSURE ITSELF THAT SAFEGUARDING INFORMATION SHARING PROCEDURES ARE ESTABLISHED AND BEING USED EFFECTIVELY AT AN OPERATIONAL LEVEL

Lead group	Policy and procedures sub-committee Other group involvement: Performance and Audit, safeguarding adult review and quality sub-committee			
What difference do we want to make?	There is safe operational practice where appropriate information sharing is not inhibited or increasing risk to adults in Essex			
How will we measure our success?	Responses to adult safeguarding audit standards around information sharing Safeguarding adult review findings and the findings of other review will no longer continue to highlight deficits in information sharing arrangements .			
The actions we will undertake in order to realise this priority are...				
<i>Key action</i>	<i>Lead officer</i>	<i>Other resources</i>	<i>Timescale</i>	<i>Progress update and impact</i>
Review of Safeguarding audit findings to establish baseline for training and policy activity across Essex	Lloyd Hunt	Performance and Audit sub-committee	April 2015	22 April 2015 - Preliminary findings reported to ESAB

STRATEGIC PRIORITY 6:

ESAB IS AN EFFECTIVE STRATEGIC BOARD FULFILLING ITS STATUTORY OBJECTIVE TO HELP AND PROTECT ADULTS WHO HAVE NEEDS FOR CARE AND SUPPORT, WHO ARE EXPERIENCING OR AT RISK OF ABUSE OR NEGLECT.

Lead group:	ESAB Other group involvement: All ESAB sub-committees
What difference do we want to make?	Help and protect adults who have needs for care and support, who are experiencing or at risk of abuse or neglect.

<p>How will we measure our success?</p>	<p>Evidencing fulfilment of the Boards three statutory duties as set out in the Care Act 2014 i.e.:</p> <ul style="list-style-type: none"> • Publish a strategic plan for each financial year that sets out how ESAB will meet its main objective and what members will do to achieve this. • Publish an annual report detailing what ESAB has done during the year to achieve its main objective and implement its strategic plan, and what each member has done to implement the strategy • Conduct Safeguarding Adult Reviews <p>Fulfil requirements set out in 14.110 of the Care and Support statutory guidance¹ i.e.:</p> <ul style="list-style-type: none"> • identify the role, responsibility, authority and accountability with regard to the action each agency and professional group should take to ensure the protection of adults; • establish how it will hold partners to account and gain assurance of the effectiveness of its arrangements; • establish ways of analysing and interrogating data on safeguarding notifications that increase the SAB's understanding of prevalence of abuse and neglect locally that builds up a picture over time; • determine its arrangements for peer review and self-audit; • establish mechanisms for developing policies and strategies for protecting adults which should be formulated, not only in collaboration and consultation with all relevant agencies but also take account of the views of adults who have needs for care and support, their families, advocates and
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¹ Care and Support Statutory Guidance Issued under the Care Act 2014 (Department of Health 2014)

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/366104/43380_23902777_Care_Act_Book.pdf

	<p>carer representatives;</p> <ul style="list-style-type: none"> • develop preventative strategies that aim to reduce instances of abuse and neglect in its area; • identify types of circumstances giving grounds for concern and when they should be considered as a referral to the local authority as an enquiry; • formulate guidance about the arrangements for managing adult safeguarding, and dealing with complaints, grievances and professional and administrative malpractice in relation to safeguarding adults; • develop strategies to deal with the impact of issues of race, ethnicity, religion, gender and gender orientation, sexual orientation, age, disadvantage and disability on abuse and neglect; • balance the requirements of confidentiality with the consideration that, to protect adults, it may be necessary to share information on a 'need-to-know basis'; • identify mechanisms for monitoring and reviewing the implementation and impact of policy and training; • carry out safeguarding adult reviews; • produce a Strategic Plan and an Annual Report; • evidence how SAB members have challenged one another and held other boards to account; and, • promote multi-agency training and consider any specialist training that may be required. Consider any scope to jointly commission some training with other partnerships, such as the Community Safety Partnership.
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The actions we will undertake in order to realise this priority are...

<i>Key action</i>	<i>Lead officer</i>	<i>Other resources</i>	<i>Timescale</i>	<i>Progress update and impact</i>
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Complete an annual internal audit of the safeguarding board to ensure it continues to fulfil its statutory requirements	Paul Bedwell	ESAB sub-committees	April 2016	Not yet commenced
Develop a safeguarding risk register to ensure the safeguarding board continues to be sighted on strategic safeguarding risks across the county	Lloyd Hunt	Performance and audit sub-committee	September 2015	To be considered by Performance and Risk sub-committee
Development of a robust performance management framework for the safeguarding board	Lloyd Hunt	Performance and Audit sub-committee	July 2015	Work underway. Progress report provided to April ESAB meeting

Develop a consistent approach to managing Hoarding cases across Essex	SO Danny Gatehouse ECFRS	District/ Borough and City Council sub-committee	September 2015	Draft policy under development. Meeting arranged with commissioners (26 May) to ensure operational systems are agreed for managing cases as they are referred
Raise awareness of SAR reviews and referral system across partner agencies	ESAB Business Manager	Comms sub-committee	September 2015	Not yet commenced
Manage SAR referrals in line with SAR procedure	ESAB Business Manager	SAR and Quality sub-committee	Ongoing using separately reported tracking system	1 SAR review underway. 3 other lower level reviews being completed using systems methodology, One further SAR referral under consideration.